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3652

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Name

Thao Ton

Department/Company

United States Patent and Trademark Office

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Fax

703-308-5077

Telephone

703-308-4229

From

Name

Kelly A Umholtz

Company

Caterpillar Inc., Intellectual Property Department

Address

100 N.E. Adams Street

City, State, Zip

Peoria, IL 61629-6490

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FEB. 27 2004

Fax

(309) 675-1236

Telephone

309-675-5623

GROUP 3600

RE: Incorrect charge on the PTO Deposit Account for October 2003 statement.

Account Number: 031129

Date	Control No.	Post. Ref Txt	Docket Nbr	Fee Code	Amt
10/24/2003		10028155	01-628US		\$86.00

Caterpillar Inc. feels that this charge was applied incorrectly. We are sending documentation of the claims associated with this case.

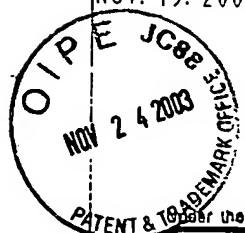
Please advise and provide via fax an explanation for the above charges being listed on Caterpillar Inc.'s deposit account for October 2003.

Thank you,
Kelly A Umholtz
309-675-5623

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2003 NOV 24 PM 2:06

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3652

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	10/028,155
		Filing Date	12/20/2001
		First Named Inventor	Christy L. Lee
		Group Art Unit	3652
		Examiner Name	D.W. Underwood
Total Number of Pages in This Submission		Attorney Docket Number	01-628

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Remarks	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
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GROUP 3600

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	James R. Smith, Registration No. 41,318
Signature	
Date	April 16, 2003

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope address to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

Typed or printed name	James R. Smith
Signature	
Date	4/16/03

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 740)

Complete If Known

Application Number	(Unassigned)
Filing Date	(Herewith)
First Named Inventor	Christy L. Lee
Examiner Name	(Unassigned)
Group Art Unit	(Unassigned)
Attorney Docket No.	01-628US

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
 Deposit Account Number 03-1129
 Deposit Account Name
 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:
 Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee (\$)	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	65	Surcharge - late filing fee or oath	
127	50	227	25	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	130	Non-English specification	
147	2,520	147	2,520	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	55	Extension for reply within first month	
116	400	216	200	200	Extension for reply within second month	
117	920	217	460	460	Extension for reply within third month	
118	1,440	218	720	720	Extension for reply within fourth month	
128	1,960	228	980	980	Extension for reply within fifth month	
119	320	219	160	160	Notice of Appeal	
120	320	220	160	160	Filing a brief in support of an appeal	
121	280	221	140	140	Request for oral hearing	
138	1,510	138	1,510	1,510	Petition to institute a public use proceeding	
140	110	240	55	55	Petition to revive - unavoidable	
141	1,280	241	640	640	Petition to revive - unintentional	
142	1,280	242	640	640	Utility issue fee (or reissue)	
143	460	243	230	230	Design issue fee	
144	620	244	310	310	Plant issue fee	
122	130	122	130	130	Petitions to the Commissioner	
123	50	123	50	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	180	Submission of Information Disclosure Stmt	
581	40	581	40	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	370	Request for Continued Examination (RCE)	
169	900	169	900	900	Request for expedited examination of a design application	

Other fee (specify)

*or number previously paid, if greater. For Reissues, see above

SUBTOTAL (3) (\$ 0)

0

SUBMITTED BY

Name (Print/Type)	James R. Smith	Registration No. (Attorney/Agent)	41,318	Telephone	(309) 636-1569	
Signature					Date	12/20/2001

Complete If Applicable

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